

04-04-01

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INTELLECTUAL PROPERTY LAW  
(PATENT, BIOTECHNOLOGY, COMPUTER,  
TRADEMARK & TRADE SECRET LAW)

April 3, 2001

**Docket No.: D6321**

The Honorable Commissioner of Patents  
BOX PATENT APPLICATION  
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the non-provisional patent application which claims benefit of priority of provisional application USSN 60/194,498 filed April 3, 2000, now abandoned, in the:

Name of: **Russell, M.W. and Connell, T.D.**  
For: ***Chimeric Antigen-Enterotoxin Mucosal Immunogens***

**CLAIMS AS FILED**

Fee for:	Small entity	Amount
Basic fee	\$ 3 5 5	\$ 3 5 5
Each independent claim		
in excess of 3 (3)	\$ 40	\$ 1 2 0
Each claim excess of 20 (9)	\$ 9	\$ 81
Multiple dependent claim		
	<b>TOTAL FILING FEE</b>	<b>\$ 5 5 6</b>

☒ Please charge my Deposit Account No. 07-1185 in the total amount of the filing fee and the assignment recordation fee if any.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1185.

04/03/01  
JCT14 U.S. PTO

JCT985 U.S. PTO  
09/825105  
04/03/01

- ☒ Any additional fees under 37 CFR 1.16.  
☒ Any application processing fees under 37 CFR 1.17.

☒ Small Entity Status

Applicants hereby claim small entity status under 37 CFR 1.27(d).

☒ Relate Back--35 U.S.C. 119(e)

This non-provisional application claims benefit of priority of provisional application USSN 60/194,498 filed April 3, 2000, now abandoned.

☐ Assignment

The application is assigned by the inventors to the\_\_\_\_\_.

☒ Sequence Listing

The sequence listing is enclosed, including a paper copy, a computer readable form and a compliance letter indicating that the sequence listing on the paper copy and the disk are one and the same.

☒ Power of Attorney

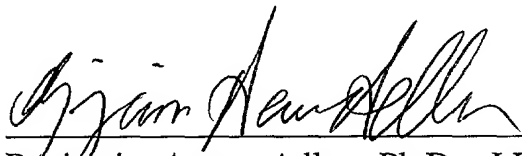
☒ is attached.

☒ Address all future communications to:

Benjamin Aaron Adler  
ADLER & ASSOCIATES  
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Houston TX 77071  
(713) 270-5391  
BADLER1@houston.rr.com

☒ Two photocopies of this sheet are enclosed.

Date: April 3, 2001

  
Benjamin Aaron Adler, Ph.D., J.D.  
Counsel for Applicant  
Registration No. 35,423

04-04-01

A

Box SEC

04/03/01  
jc839 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Russell, M. & Connell, T. § GROUP ART UNIT:  
FILED: April 3, 2001 §  
SERIAL NO.: § EXAMINER:  
FOR: Chimeric Antigen-Enterotoxin § DOCKET:  
Mucosal Immunogens § D 6321

jc985 U.S. PTO  
09/825105  
04/03/01

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

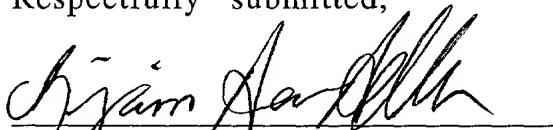
The Honorable Commissioner of Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EL610498198US in an envelope addressed to: The Honorable Commissioner of Patents, Washington, D.C. 20231, BOX PATENT APPLICATION on the date indicated below:

- 1) Non-provisional patent application + 11 sheets of drawings;
- 2) Transmittal letter;
- 3) (2) Two Combined Declarations and Power of Attorney;
- 4) Sequence Listing, Compliance Statement and CRF; and
- 5) Return postcard.

Respectfully submitted,



Benjamin Aaron Adler, Ph.D., J.D.  
Registration No. 35,423

Date:

April 3, 2001

ADLER & ASSOCIATES  
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Houston, Texas 77071  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT: Russell & Connell**

**FILED: April 3, 2001**

**DOCKET: D6321**

**FOR: Chimeric Antigen-Enterotoxin Mucosal Immunogens**

The Assistant Commissioner of Patents and Trademarks

**BOX PATENT APPLICATION**

Washington, DC 20231

**COMPLIANCE OF REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

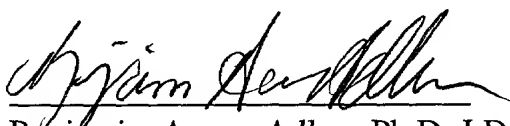
Dear Sir:

Applicant provides a computer readable form of the Sequence Listing on the enclosed 3.5 inch disk and a paper copy thereof for the above-referenced application. The disk is a 1.44 Mb IBM-formatted disk. The file is stored as D6321SEQ in text format. I hereby state that the content of the paper copy of the Sequence Listing filed as part of the above-captioned application and the enclosed computer readable copy of the Sequence Listing are the same.

Respectfully submitted,

Date:

April 3, 2001



Benjamin Aaron Adler, Ph.D., J.D.

Counsel for Applicant

Registration No. 35,423

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